# Chapter 9
# XML Schema

## 9.1 Motivation

- Database area: schema description
  - cf. SQL datatypes, table schemata
  - constraints
- Programming languages: typing – *real* typing – means: theory
  - every expression (query, variable etc) can be assigned with a type
  - structural induction
  - static typechecking for queries/programs/updates
  - validation of resulting structures wrt. target DTD/Schema



## XML Query Formal Semantics: Overview

Every (query) expression is assigned with a semantics

### Static Semantics

Given a static environment, an expression is of a certain type:
(static env.: namespace decl, typedefs, type decls. of variables)

- $statEnv \vdash Expr : Type$

### Dynamic Semantics

Given a dynamic environment, an expression yields a certain result:
(dynamic env.: context node, size+position in context, variable bindings)

- $dynEnv \vdash Expr \Rightarrow Value$
  (equivalent to "classical" notation: $[[Expr]]_{dynEnv} = Value$)

... both defined by structural induction.
(for short example: show 2.1.5 and "if" in 4.10 of W3C XQFS document)

## XML Query Data Types

... by examples:

```
define type coordinates {
    element latitude  of type xs:float &     -- in any order
    element longitude of type xs:float}

define type city {
    attribute country of type xs:string,
    attribute province of type xs:string?,    -- optional
    element name of type xs:string,
    element population of type {             -- anonymous, local type
           attribute year of type xs:integer
           xs:nonNegativeInteger } *,        -- arbitrarily often
    element coordinates of type coordinates, -- defined above
   }
```

... similar to DTD expressions extended with primitive datatypes



## XML Query Data Types (Cont'd)

XML type theory:

- operations on types (e.g. "union"): result type of a query that yields either a result of type a or type b
- derivation of new types by
  - additional constraints
  - additional content
- constraints: does the derived result type for some expression guarantee that some conditions hold?
- containment of types: is the derived result type for some expression covered by a certain target type?
  (static type checking of programs)
- can e.g. be applied for query and storage optimization, indexing etc.

## REQUIREMENTS ON AN XML SCHEMA LANGUAGE

- requirement: a schema description language for the user that is *based* on these types: (usage is optional – XML is self-describing)
- DTD: heritage of SGML; database-typical aspects are not completely supported (datatypes [everything is CDATA/PCDATA], cardinalities); but: order, iteration.
- DTD: syntax is not in XML.

$\Rightarrow$ better formalism for representing schema information

- XML syntax $\rightarrow$ easy to process
- more detailed information as in the DTD
- database world: datatypes with derived types, constraints etc.



## XML SCHEMA: IDEAS

- no actually new concepts (in contrast to the definition of the object-oriented model), but ...
- combination of the power of previous schema languages:
  - datatype concepts from the database area (SQL)
  - idea of complex object types/classes from the OO area
  - structured types from the area of tree grammars (e.g. DTD)
- new in contrast to DTDs: distinguishes between (element content) types and elements. E.g., a *type* `percentageProperty` that is a simple element with string contents and a `percentage` attribute which is a decimal between 0 and 100.
  This *type* is then used for defining elements `language`, `ethnicgroup`, and `religion`.

$\Rightarrow$ more complex and modular than DTDs.

## 9.2 XML Schema: Design

Using XML syntax and a verbose formalism, XML Schema uses a very detailed and systematic approach to type definitions and -derivations.

- only a few primitive, atomic datatypes
- other *simple types* are derived from these by *restriction*,
- *complex types* with text-only contents are derived by *extension* from simple types,
- other (*complex types*) are derived by *restriction* from a general *anyType* (cf. class *object* in OO),
- these types are then used for declaring elements.



## XML Schema: the Standard

The XML Schema Recommendation (since May 2001; version 1.1 Recommendation since 2012) consists of 2 parts:

- Part 2: “Datatypes”
  - Definition of *simple types*:
    have no attributes and no element content; are used only for text content and as attribute values.
- Part 1 “Structures”:
  - Definition of structured datatypes (*complex types*):
    with subelements and attributes; are used as element types.
    - names/types of the subelements and attributes
    - order of the subelements
  - Definition of elements using the complex types.
- many syntax definitions
- Part 0: “Primer” (`http://www.w3.org/TR/xmlschema-0/`) explains and motivates the concepts.

## USAGE OF XML SCHEMA

- understand concepts and ideas (XML Schema Primer, lecture)
- apply them in practice
- lookup for syntax details in the W3C documents
- make experiences
  - Validation with xmllint:
    `xmllint -noout -schema schemafilename file.xml`
  - Validation with saxonEE
  - JAXB (cf. Slide 545)



## XML SCHEMA DOCUMENTS

An XML-Schema document consists of

- a preamble and
- a set of definitions and declarations

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
   content
</xs:schema>
```

*content* uses the following kinds of *schema components*:

- datatype definitions (simple types and complex types)
- attribute declarations
- element declarations
- miscellaneous ...

## 9.3 Datatypes

Datatypes are seen as triples:

- range (possible values, cardinality, equality and ordering),
- lexical representation by *literals* (e.g. 100 also as 1.0E2 and 1.0e+2)
- set of properties

The set of possible values can be specified in different ways:

- extensional (enumeration)
- intensional (by axioms)
- restriction of another domain
- construction from other domains (lists, sets ...)



## DATATYPES

Datatypes are characterized by their domain and *properties (called facets)* in multiple independent “dimensions”. The facets describe the differences between datatypes.

The basic facets (present for each datatype) are

- equality,
- order relation,
- upper and lower bound,
- cardinality (finite vs. countable infinite),
- numerical vs. non-numerical.

## DATATYPES

- primitive datatypes (predefined)
- generated datatypes (derived from other datatypes). This can happen by aggregation (lists) or restriction of another datatype.
- Primitive predefined types in XML Schema:
  - string (with many subtypes: token, NMTOKEN),
  - boolean (lexical repr.: true, false),
  - float, double,
  - decimal (with several subtypes: integer etc.),
  - duration, time, dateTime, ...
  - base64Binary, hexBinary
  - anyURI (Universal Resource Identifier).
- generated predefined types:
  - integer, [non]PositiveInteger, [non]NegativeInteger, [unsigned](long|short|byte)



## XML-SPECIFIC DATATYPES

There are some XML-specific datatypes (subtypes of string) that are defined based on the basic XML recommendation. They are only used for attribute types (atomic and list types):

- NMTOKEN (restriction of string according to the definition of XML tokens),
- NMTOKENS derived from NMTOKEN by list construction,
- IDREF/IDREFS analogously,
- Name: XML Names,
- NCName: non-colonized names,
- language: language codes according to RFC 1766.

## Constraining Facets

By specifying constraining facets, further datatypes can be derived:

- for sequences of characters: length, minlength, maxlength, pattern (by regular expressions);
- for numerical datatypes: maxInclusive, minInclusive, maxExclusive, minExclusive,
- for lists: length, minLength, maxLength
- for decimal datatypes: totalDigits (number of digits), fractionDigits (number of positions after decimal point);
- enumeration (definition of the possible values by enumeration),

... for a description of all details, see the W3C XMLSchema Documents.



## Generation of Simple Datatypes

Simple datatypes can be derived as <simpleType> from others:

Derivation by Restriction

Restriction of a base type (i.e., specification of further restricting facets):

```
<xs:simpleType name="name">
    <xs:restriction base="simple-type">
        facets
    </xs:restriction>
</xs:simpleType>
```

```
<xs:simpleType name="carcodeType">
    <xs:restriction base="xs:string">
        <xs:minLength value="1"/>
        <xs:maxLength value="4"/>
        <xs:pattern value="[A-Z]+"/>
    </xs:restriction>
</xs:simpleType>
```

## Derivation by Restriction

Example:

```
<xs:simpleType name="latitudeType"
    <xs:restriction base="xs:decimal">
        <xs:minInclusive value="-90"/>
        <xs:maxInclusive value="90"/>
    </xs:restriction>
</xs:simpleType>

<xs:simpleType name="longitudeType"
    <xs:restriction base="xs:decimal">
        <xs:minExclusive value="-180"/>
        <xs:maxInclusive value="180"/>
    </xs:restriction>
</xs:simpleType>
```

defines two derived simple datatypes.



## Remark: Usage of SimpleTypes

- as attributes (details later):

  ```
  <xs:attribute name="car_code" type="carcodeType"/>
  ```

  can e.g. be used in

  ```
  <country car_code="D"> ... </country>
  ```

- as elements (details later):

  ```
  <xs:element name="longitude" type="longitudeType"/>
  ```

  can e.g. be used in

  ```
  <longitude>48</longitude>
  ```

  Only if also attributes are required, a <complexType> must be defined.

## Derivation by Restriction: Enumeration

- Enumeration of the allowed values.

Example (from XML Schema Primer)

```
<xs:simpleType name="USState">
    <xs:restriction base="xs:string">
        <xs:enumeration value="AK"/>
        <xs:enumeration value="AL"/>
        <xs:enumeration value="AR"/>
        <!– and so on ... –>
    </xs:restriction>
</xs:simpleType>
```

- so far, this functionality is similar to what could be done in SQL by attribute types and integrity constraints.
- additionally:
  - "multi-valued" list types (but still simple types)
  - complex types



## Derivation as List Types

```
<xs:simpleType name="name">
    <xs:list itemType="simple-type">
        facets          <!-- optional -->
    </xs:list>
</xs:simpleType>
```

- *simpleType* must be a non-list type,
- facets of the list (e.g., maxLength, minLength, pattern) can be defined by subelements

## Example

Datatype for a list of country codes:

```
<xs:simpleType name="countrylist">
    <xs:list itemType="carcodeType"/>
</xs:simpleType>

<xs:attribute name="neighbors" type="countrylist"/>
```

for `<country neighbors="NL L F CH ..."> ... </country>`

### Derivation as Union Types

- Analogously union of sets with xs:union and @xs:memberTypes.

Component of a data type for postal addresses for US suppliers: send e.g., to D 37075 Göttingen (car code), or CA 94065 Redwood (US State Code)

```
<xs:simpleType name="stateOrCountry">
    <xs:union memberTypes="carcodeType USState"/>
</xs:simpleType>
```



## 9.4 Attribute and Element Declarations and (Structural) Type Declarations

Modular design with named types and element (and attribute) declarations:

- Attributes are always based on simple types:

  <xs:attribute name="*attrname*" type="*simpletypename*"/>

  <xs:attribute name="car_code" type="carcodeType"/>

- Elements based on simple types (DTD: #PCDATA without attributes), without attributes:

  <xs:element name="*elemname*" type="*simpletypename*">

  <xs:element name="name" type="xs:string"/>

- Elements using structural content types:

  <xs:element name="*elemname*" type="*complextypename*"/>

- Complex types can be defined and named by

  <complexType name="*complextypename*"> *contentModelDerivationSpec*
  </complexType >

  as described next. One goal is to make *contentModelDerivationSpecs* reusable.

## (Structural) Content Model/Type Declarations

Complex element content *types* can be derived from others by

<complexType [name="*complextypename*"]> *contentModelDerivationSpec* </complexType>.

- *contentModelDerivationSpec*: describes how the structural content type can derived by "extending" or "restricting" another simpleContentType or a complexContentType.

(1) Define a complex type "from scratch"
(this is an abbreviation of case (4) below):

<xs:complexType [name="*complextypename*"]>
*content model*
*attribute usage declarations*
</xs:complexType>

where *content model* is of a content group definition of the following forms like regular expressions (details see later):

- <xs:sequence> ... </xs:sequence>
- <xs:choice> ... </xs:choice>
- <xs:all> ... </xs:all>
- ( or <xs:group ref="*groupname*"> ... </xs:group>)
- which in turn can be nested and finally contain <xs:element ... ...> elements.



## (Structural) Content Model/Type Declarations

*contentModelDerivationSpec* variants based on a simpleContentType:

(2) Simple type content "extended" with attributes:

<xs:simpleContent>
<extension base="*simpletypename*" >
*attribute usage declarations*
</extension>
</xs:simpleContent>

used for element types xxx of the form <xxx *attrs-as-defined*> *simple-type-value* </xxx>

(3) (rarely used) restricting the content of another complex content type with simple content by facets

<xs:simpleContent>
<restriction base="*complextypename (!)*" >
*facets*
*attribute usage declarations*
</restriction>
</xs:simpleContent>

## (Structural) Content Model/Type Declarations

*contentModelDerivationSpec* variants restricting a complexContentType:

(4) (rarely used) complex type by restriction of another complex type (overwrites is mainly)

```
<xs:complexContent [mixed="true"]>
  <restriction base="complextypename" >
    content model
    attribute usage declarations
  </restriction>
</xs:complexContent>
```

(4b) The complex type "from scratch" case in (1) corresponds to an abbreviation of (4) formally "by restricting xs:anyType":

```
<xs:complexContent>
  <restriction base="xs:anyType" >
    content model
    attribute usage declarations
  </restriction>
</xs:complexContent>
```

(the optional "mixed="true"" attribute is then added to the <xs:complexContent> element)



## (Structural) Content Model/Type Declarations

*contentModelDerivationSpec* variants extending a complexContentType:

(5) Complex type by extension (basically appends its *content model* to the content model of the type it extends (if both are xs:all, then the result is xs:all, otherwise xs:sequence (seems to be strange if both are xs:choice!)) ,

```
<xs:complexContent [mixed="true"]>
  <restriction base="complextypename" >
    content model
    attribute usage declarations
  </restriction>
</xs:complexContent>
```

Using these structural types, the XML element types can be defined.

## (Structural) Type Declarations and Element (Type) Declarations

- Modular design with named types and element declarations: declare types and elements referring to them separately:
  - Declare a named type globally (may be the same name as the later name of some element)

    <xs:complexType name="*complextypename*">
    *content (incl attributes) model specification* contains:
    <xs:element ref="*elemname*" *subelem-cardinality-spec etc.* />
    <xs:attribute ref="*attrname*" *attr-cardinality-spec etc.* />
    </xs:complexType>
- Alternative: unnamed, local declarations for subelements and attributes locally inside the *content model specification*.



### Complex Element Content Types: Simple Type with Attributes

Population: text content and an attribute:

<population year="1997">130000</population>

- take the simpleType for the text content and extend it with an attribute:

```
<xs:complexType name="population">
  <xs:simpleContent>
    <xs:extension base="xs:nonNegativeInteger">
      <xs:attribute name="year" type="xs:nonNegativeInteger"/>
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>
```

- the complexType can have (but does not necessary have) the same name, as the element to be defined later.

## Complex Element Content Types: Empty Element Types

Border: only two attributes:

<border country="F" length="500"/>

follows the case (1), defining a compleyType from scratch:

```
<xs:complexType name="border">
   <xs:attribute name="country" type="xs:IDREF"/>
   <xs:attribute name="length" type="xs:decimal"/>
</xs:complexType>
```



## Complex Element Content Types Element Content Types: Arbitrary Element Types

- element types with complex content use (nested) structure-defining elements (called *Model Groups*):
  - <xs:sequence> ... </xs:sequence>
  - <xs:choice> ... </xs:choice>
  - <xs:all> ... </xs:all>
    ("all" with some restrictions - only top-level, no substructures allowed)
- inside, the allowed element types are specified:

  <xs:element name="*name*" type="*typename*"/>
- note: even if only one type of subelements is contained, one of the above must be used around it.
- note: the XML Schema definition requires to list the content model specification before the attributes.

### Complex Element Content Types: Example

```
<xs:element name="country">
  <xs:complexType>
    <xs:sequence>
      <xs:element ref="name" maxOccurs="unbounded"/>    <!-- defined elsewhere -->
      <xs:element minOccurs="0" maxOccurs="1" ref="population"/>
      <xs:choice minOccurs="0" maxOccurs="1">
        <xs:element minOccurs="0" maxOccurs="1" ref="indep_date"/>
        <xs:element minOccurs="0" maxOccurs="1" ref="dependent"/>
      </xs:choice>
      <xs:element minOccurs="1" maxOccurs="unbounded" ref="encompassed"/>
      <xs:choice>
        <xs:element minOccurs="1" maxOccurs="unbounded" ref="province"/>
        <xs:element minOccurs="1" maxOccurs="unbounded" ref="city"/>
      <xs:choice>
    </xs:sequence>
    <xs:attribute name="car_code" type="xs:ID"/>
    <xs:attribute name="area" type="xs:positiveDecimal"/>
    <xs:attribute ref="capital"/>                       <!-- defined elsewhere -->
    <xs:attribute name="memberships" type="xs:IDREFS"/>
  </xs:complexType>
</xs:element>
```



# 9.5 Composing Declarations

### Further Attributes of Attribute Definitions

- use (optional, required, prohibited)
  default is “optional”
- default (same as in DTD: attribute is added if not given in the document)
- fixed (same as in DTD)

### Further Attributes of Subelement Definitions

- minOccurs, maxOccurs: default 1.
- <default value=“*value*”/> (bit different from attribute default): if the element is given in a document with empty content, then the default contents *value* is inserted.
  In case that an element is not given at all, no default is used.
- <fixed value=“*value*”/>: analogous.

Examples: later.

## GLOBAL ATTRIBUTE- AND ELEMENT DEFINITIONS

... up to now, arbitrary element *types* have been defined.

At least, for the root element, a separate element declaration is needed.

- <xs:attribute> and <xs:element> elements can not only occur inside of <xs:complexType> elements, but can also be global.
- as global declarations, they must not contain specifications of @use, @minOccurs, or @maxOccurs.
- global declarations can then be used in type definitions by @ref.
  Then, they have @use, @minOccurs and @maxOccurs.
- especially useful if the same element type is used several times.



Example: reusing a type definition: percentage-property

```
<xs:complexType name="percentage-property">
  <xs:simpleContent>
    <xs:extension base="xs:string">
      <xs:attribute ref="percentage"/>
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>
<xs:element name="ethnicgroup" type="percentage-property"/>
<xs:element name="religion" type="percentage-property"/>
<xs:element name="language" type="percentage-property"/>
<xs:element name="country">
  <xs:complexType>
    <xs:sequence>
      <xs:element minOccurs="1" maxOccurs="unbounded" ref="name"/>
        :
      <xs:element minOccurs="0" maxOccurs="unbounded" ref="ethnicgroup"/>
      <xs:element minOccurs="0" maxOccurs="unbounded" ref="religion"/>
      <xs:element minOccurs="0" maxOccurs="unbounded" ref="language"/>
        :
    </xs:sequence>
    <xs:attribute name="car_code" type="xs:ID"/>
      :
  </xs:complexType>
</xs:element>
```

## AUFRAEUMEN

- Instead of
  <xs:element name="*name*" type="*typename*"/>
  <xs:attribute name="*name*" type="*typename*"/>
  anonymous, local type definitions in the content of such elements are allowed:

```
<xs:complexType name="city">
  <xs:sequence>
    <xs:element name="name" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="population" minOccurs="0" maxOccurs="unbounded">
      <xs:simpleContent>
        <xs:extension base="xs:nonNegativeInteger">
          <xs:attribute name="year" type="xs:nonNegativeInteger">
        </xs:extension>
      </xs:simpleContent>
    </xs:element>
  </xs:sequence>
  <xs:attribute name="country" type="xs:IDREF"/>
</xs:complexType>
```



## LOCAL DECLARATIONS

- <complexType> declarations define local *symbol spaces*, i.e., the same attribute/element names can be used in different complex datatypes with different specifications of result-datatypes (this is not possible in DTDs; cf. country/population and city/population elements)

Using global types:

<xs:complexType name="countrypop"> ... without @year ... </xs:complexType>

<xs:complexType name="citypop"> ... with @year ... </xs:complexType>

<xs:complexType name="countryType">
  :
  <xs:element name="population" type="countrypop"/>
</xs:complexType>

<xs:complexType name="cityType">
  :
  <xs:element name="population" type="citypop"/>
</xs:complexType>

## Local Declarations (Cont'd)

Using local "population" types:

```
<xs:complexType name="countryType">
    <xs:complexType name="pop"> ... without @year ... </xs:complexType>
      :
    <xs:element name="population" type="pop"/>
</xs:complexType>

<xs:complexType name="cityType">
    <xs:complexType name="pop"> ... with @year ... </xs:complexType>
      :
    <xs:element name="population" type="pop"/>
</xs:complexType>
```



# ATTRIBUTE GROUPS

Groups of attributes that are used several times can be defined, named and then reused:

```
<xs:attributeGroup name="groupname">
    attributedefs
</xs:attributeGroup>

<xs:complexType name="name" ...>
    :
    <xs:attributeGroup ref="groupname"/>
</xs:complexType>
```

- group definitions can also be nested ...

## CONTENT MODEL GROUPS

In the same way, parts of the content model can be predefined:

<xs:group name="*groupname*">
*modelgroupdef*
</xs:group>

<xs:complexType name="*name*" ...>
:
<xs:group ref="*groupname*"/>
</xs:complexType>

**Exercise 9.1**
Use the following group definitions in your MONDIAL schema:

- an attribute group for (country, province) in city, lake, mountain etc.
- a content model group for (latitude, longitude) □



## PRACTICAL ISSUES: XSI:SCHEMALOCATION

In addition to use separate separate .xsd and .xml files (call e.g. saxonXSD bla.xml bla.xsd), the XML Schema can be identified in the XML instance:

- simple things without namespace: the xsi:noNamespaceSchemaLocation attribute gives the URI or local file path of the XML Schema file:

```
<mondial xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="mondial.xsd"> ... </mondial> <!-- local -->
<mondial xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="http://.../mondial.xsd"> ... </mondial>
```

- when a namespace is used: declare the namespace, and the xsi:schemaLocation attribute is of the form xsi:schemaLocation="*namespace uri-of-xsd-file*":

```
<mon:mondial xmlns:mon="http://www.semwebtech.org/Mondial"
             xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.semwebtech.org/Mondial
                      http://www.semwebtech.org/Mondial/mondial.xsd">
  ... </mon:mondial>
```

- if a document uses several namespaces, several xsi:schemaLocations gan be given; also inside of inner elements.

# 9.6 Integrity Constraints

XML Schema supports three further kinds of integrity constraints (*identity constraints*):

- unique, key, keyref

that have *very* strong similarities with the corresponding SQL-concepts:

- a name,
- a *selector*: an XPath expression, e.g. //city, that describes the set of elements for which the condition is specified (stronger than SQL: relative to the instance of the element type where the spec is a child of),
- a list of fields (relative to the result of the selector), that are subject to the condition,
- for keyref: the name of a key definition that describes the corresponding referenced key.

More expressive than ID/IDREF:

- not only document-wide keys, but can be restricted to a set of nodes (by type, and by subtree),
- multiple fields; can not only contain attributes, but also (textual) element content,
- but not applicable to IDREFS (then, e.g., “D NL B ...” would be seen as a single value).



## INTEGRITY CONSTRAINTS

- are subelements of an element type. The scope of them is then each instance of that element type (e.g., allows for having a key amongst all cities of a given country, and keyrefs in that country only referring to such cities)
- document-wide: define them for the root element type.

```
<xs:unique name="..." >
    <xs:selector xpath="xpath-expr"/>
    <xs:field xpath="xpath-expr"/> ··· <xs:field xpath="xpath-expr"/>
</xs:unique>

<xs:key name="name" >
    <xs:selector xpath="xpath-expr"/>
    <xs:field xpath="xpath-expr"/> ··· <xs:field xpath="xpath-expr"/>
</xs:key>

<xs:keyref name="..." refer="name" >
        <xs:selector xpath="xpath-expr"/>
        <xs:field xpath="xpath-expr"/> ··· <xs:field xpath="xpath-expr"/>
</xs:keyref>
```

## Integrity Constraints: Example

```
<xs:element name="mondial">
  <xs:complexType>
    <xs:element ref="country" maxOccurs="*"/>
     :      <!-- with <border country="..."/> subelements -->
  </xs:complexType>

  <xs:key name="countrykey"> <-- key amongst all countries -->
    <xs:selector xpath="country"/>  <!-- range: unique amongst all countries -->
    <xs:field xpath="@car_code"/>   <!-- is the field @car_code -->
  </xs:key>

  <xs:keyref name="bordertocountry" refer="countrykey">
    <xs:selector xpath=".//border"/> <!-- for all border elements, -->
    <xs:field xpath="@country"/>     <!-- the @country attr refs to a country -->
  </xs:keyref>
</xs:element>
```



## Integrity Constraints: Local Keys Example

```
<?xml version="1.0" encoding="UTF-8"?>
<countries-and-cities
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="keys.xsd">
  <country code="D">
   <neighbor code="A"/>
   <neighbor code="CH"/>
   <county code="FR" name="Freiburg">
    <neighbor code="VS"/>
    <city>Freiburg</city>
   </county>
   <county code="VS" name="Villingen-Schwenningen">
    <neighbor code="FR"/>
    <city>Villingen</city>
   </county>
   <county code="D" name="Duesseldorf"/>
  </country>
  <country code="CH">
   <neighbor code="D"/>
   <neighbor code="A"/>
   <county code="FR" name="Fribourg">
    <neighbor code="VS"/>
    <city>Fribourg</city>
   </county>
   <county code="VS" name="Valais/Wallis">
    <neighbor code="FR"/>
    <city>Sion</city>
   </county>
   <county code="VD" name="Vaud/Waadt">
    <neighbor code="FR"/>
    <neighbor code="VS"/>
   </county>
  </country>
  <country code="A"/>
</countries-and-cities>
```

[Filename: XMLSchema/keys.xml]

## Integrity Constraints: Local Keys Example (Cont'd)

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="countries-and-cities">
   <xs:complexType>
    <xs:sequence>
     <xs:element ref="country" maxOccurs="unbounded"/>
    </xs:sequence>
   </xs:complexType>
   <xs:key name="countrykey"> <!-- all countries -->
    <xs:selector xpath=".//country"/>
    <xs:field xpath="@code"/>
   </xs:key>
   <xs:keyref name="neighbortocountry" refer="countrykey">
    <xs:selector xpath=".//country/neighbor"/>
    <xs:field xpath="@code"/>
   </xs:keyref>
  </xs:element>
  <xs:element name="country">
   <xs:complexType>
    <xs:sequence>
     <xs:element ref="neighbor" minOccurs="0" maxOccurs="unbounded"/>
     <xs:element ref="county" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
    <xs:attribute name="code" type="xs:string"/>
   </xs:complexType>
   <xs:key name="countykey">            <!-- key is local to the country -->
    <xs:selector xpath=".//county"/>
    <xs:field xpath="@code"/>
   </xs:key>
   <xs:keyref name="neighbortocounty" refer="countykey"> <!-- local in the country -->
    <xs:selector xpath=".//county/neighbor"/>
    <xs:field xpath="@code"/>
   </xs:keyref>
  </xs:element>
  <xs:element name="county">
   <xs:complexType>
    <xs:sequence>
     <xs:element ref="neighbor" minOccurs="0" maxOccurs="unbounded"/>
     <xs:element ref="city" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
    <xs:attribute name="code" type="xs:string"/>
    <xs:attribute name="name" type="xs:string"/>
   </xs:complexType>
  </xs:element>
  <xs:element name="city" type="xs:string"/>
  <xs:element name="neighbor">
   <xs:complexType>
     <xs:attribute name="code" type="xs:string"/>
   </xs:complexType>
  </xs:element>
</xs:schema>
```

[Filename: XMLSchema/keys.xsd]



## USE OF KEY/KEYREF

- allows for local keys and multi-field keys.
- queries can only be stated by joins (as in SQL); then local keys are only of limited use.
- no multivalued keyrefs as in IDREFS. Each reference must be in a separate subelement.

## GENERAL ASSERTIONS

Assertions between attributes and/or subelements on instances of an element type:

- children of a complexType declaration,
- <xs:assert test="*xpath-based test*"/>

## 9.7 Applications and Usage of XML Schema

- (simple) datatype arithmetics and reasoning (numbers, dates)
  The simpleTypes and restrictions are also used in the Semantic Web languages RDF/RDFS/OWL.
- specification of allowed structure: validation of documents

The information about a class of documents is also often used:

- derive an efficient mapping to relational storage (cf. Slide 687)
- definition of indexes (over keys and other properties)
- the type definitions can be used to derive corresponding Java Interfaces (JAXB; cf. Slide 545)
  - get/set methods for properties,
  - automatical mapping between Java and XML serialization,
  - classes that add behavior can then be programmed by extending the interfaces.