# Handling Interlinked XML Instances on the Web

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# **Situation**

- focus on database aspect of XML
- autonomous XML sources on the Web
- provide data + external schema
- links between sources

#### Perspectives

- own sources reference other sources
  - what is the external schema?
  - queries against the own document must be "forwarded"
- other sources use my data
  - data restructuring, distribute over several instances
  - keep with the same external schema
  - transparent for the users

#### W3C XLink & XPointer

- XLink: language for defining links between XML documents
- To where?
  - XPointer: *url* #xpointer(*xpath-expr*)
    - linkelement xlink:type="simple"
      - xlink:href="url#xpointer(xpath-expr)"/>
- Data model?
- How to process?
- XLink and browsing: predefined xlink-attributes
- XLink and databases/queries ??

# Simple Links – Example

```
<!-- http://.../countries.xml -->
                                                      <!-- http://.../cities-B.xml -->
<countries>
                                                      <cities>
                                                        <city>
  <country car_code="B" area="30510">
                                                         <name>Brussels</name>
    <name>Belgium</name>
    <population>10170241/population>
                                                         <population>951580</>
    <capital xlink:type="simple" xlink:href=</pre>
                                                        </city>
      "http://.../cities-B.xml#
        xpointer(//city[name='Brussels'])"
                                                        <city>
    <cities xlink:type="simple" xlink:href=</pre>
                                                         <name>Antwerp</name>
      "http://.../cities-B.xml#xpointer(//city)" />
                                                         <population>459072/>
  </country>
                                                        </city>
</countries>
                                                      </cities>
```

# **Simple Links**

similar to the HTML <A href="..."> construct. Capitals of countries: <!ELEMENT country (...capital ...)> <!ELEMENT capital EMPTY> url#xpath-expr<sub>x</sub> <!ATTLIST capital xlink:type (simple extended locator a #FIXED "simple" xlink:href CDATA #REQUIRED > url <country code="B"> <capital xlink:href=</pre> "http://.../cities-B.xml#xpointer(//city[name='Brussels'])"/ </country> query:

//country[@code="B"]/capital/??/population

# **Querying along Links**

#### W3C XML Query (XQuery) Requirements (2001):

"the XML Query Data Model MUST include support for references, including both references within an XML document and references from one XML document to another".

#### XPointer and XLink:

- specify how to express inter-document links in XML
- XLink specification tailored to browsing, not to querying

#### There is not yet an official proposal

- how to add link semantics to the actual data model (e.g., the XML Query Data Model)
- how to express/process queries through links Note: no way in XQuery, even not with user-defined functions!
- for evaluation strategies.

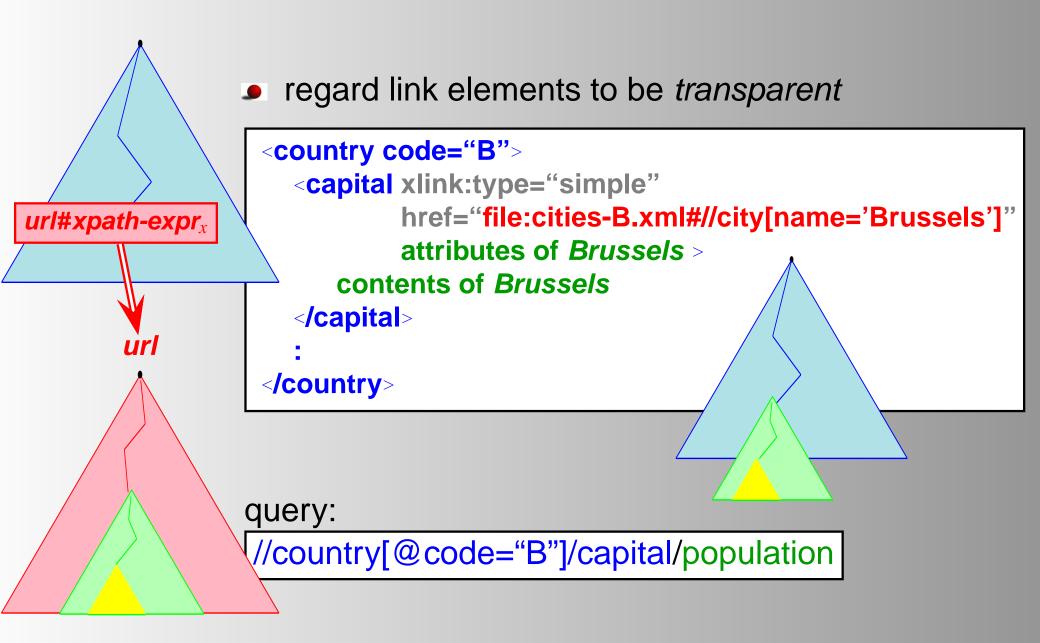
### **Data Models for Linked Documents**

- extend the abstract data model with a linking construct
  - additional explicit navigation operator required (e.g. like dereferencing IDREF attributes with the id() function)

#### Abstract data model makes the links transparent

- each link can be seen as a view definition
  - integrates external schemata
  - embedded views implicitly become subtrees
  - queried by standard XPath expressions

# **Transparent Links**



# Modeling

#### Applications:

- Data integration: building (virtual) XML documents by combining autonomous sources.
  - Sometimes: given target DTD/XML Schema
- Splitting an original XML document into a distributed database: Keep the external schema unchanged:
  - virtual model of the linked documents should be valid wrt.
     the original DTD,
  - all queries against the root document still yield the same answers as before.
- ⇒ cutting not only at (sub)elements, but also at attributes.

- (a) Mapping of the target
- evaluate XPointer (sequence of nodes)
- take nodes ...

```
<city> <name>Brussels</> <population>951580</> </>
```

.... or only their contents

```
<name>Brussels</><population>951580</>>
```

- (b) Mapping of the XLink element and adding the result
- insert (a) into the link element

```
<capital> <city> <name>Brussels</>population>951580
```

<capital> <name>Brussels</>/> <population>951580</> </>>

- (a) Mapping of the target
- evaluate XPointer (sequence of nodes)
- take nodes ...

```
<city> <name>Brussels</>/> <population>951580</></>/> </>
```

.... or only their contents

```
<name>Brussels</><population>951580</>>
```

- (b) Mapping of the XLink element and adding the result
- add (a) instead of the link element

```
<city> <name>Brussels</>/> <population>951580</> </>>
```

(... not suitable for capital, but for "cities")

```
<name>Brussels</><population>951580</>>
```

- (a) Mapping of the target
- evaluate XPointer (sequence of nodes)
- take nodes ...

```
<city> <name>Brussels</>/> <population>951580</></>/> </>
```

.... or only their contents

```
<name>Brussels</><population>951580</>>
```

- (b) Mapping of the XLink element and adding the result
- transform the link element name into a reference attribute that references (a):

```
<country capital= "•"> ...</>
```

<city> <name>Brussels</>/> <population>951580</></>/> </>

- (a) Mapping of the target
- (b) Mapping of the XLink element and adding the result,

linkelement xlink:href="xpointer"

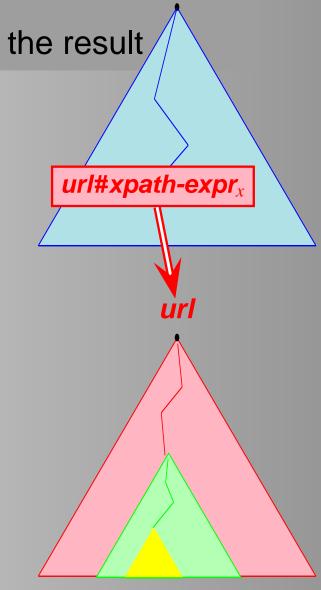
dbxlink:transparent=

"left-hand-directive right-hand-directive"

attributes>

content

</linkelement>



# Formal Definition of the Virtual Model

Expand all XLink elements (recursively)

- $\phi: NODE \rightarrow NODELIST$
- for non-XLink elements:

element(*name*, *attrs* ∘ *subelems*) →

element(name,  $attrs \circ \phi^*(subelems)$ )

result can contain attribute nodes

 $\phi^*: [e_1,\ldots,e_k] \mapsto \phi(e_1) \circ \ldots \circ \phi(e_k)$ 

φ: for XLink elements:

 $\phi(xlink\text{-}element) = \phi^*(\gamma(xlink\text{-}element))$ 

### Formal Definition of the Virtual Model

- $\phi$ : for XLink elements:  $\phi(xlink-element) = \phi^*(\gamma(xlink-element))$
- virtual model can be infinite
- actual evaluation does not materialize it, but uses iterators & stepwise XPath strategy

# **Evaluation of XPath Expressions**

#### Where? - dbxlink:eval

#### data shipping:

transfer contents of *url* and evaluate  $\gamma^{-1}(xpath-expr_x/xpath-expr_2)$  at the client.

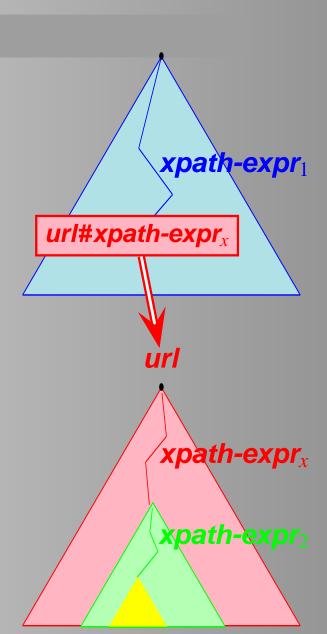
#### hybrid shipping:

evaluate  $xpath-expr_x$  at the remote server, transfer the result and apply  $\gamma$ . Then, evaluate  $xpath-expr_2$  at the client.

#### query shipping:

evaluate  $\gamma^{-1}(xpath-expr_x/xpath-expr_2)$  at the remote server, transfer the result.

Note: non-downward axes in  $xpath-expr_2$  must be evaluated wrt. virtual model  $\rightarrow$  restricted query shipping.



# **Answer Caching**

Caching – dbxlink:cache

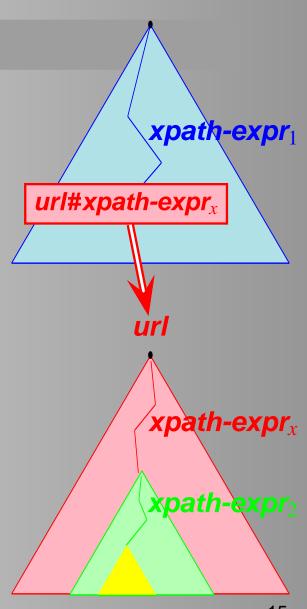
complete: parses the whole target document and stores it (centrally) in the local XML database.

**pointer:** replaces the link by the result set of document(*url*)/*xpath-expr*<sub>x</sub>

answer: stores the result of

 $document(url)/xpath-expr_x/xpath-expr_2$ ,

none: default



# **Implementation**

Extension to the eXist [http://www.exist-db.org] XML database system.

- Adaptation of the XPath evaluation:
   materialization of computation of the new context in each step (resolve XPointer, apply γ),
- cyclic references between XPointers:
  - only dangerous for descendant axis → cycle detection (shipping history, bookkeeping),
  - infinite evaluation can be avoided when using "make-attribute",
- caching.

# Conclusion

- XLink elements:
  - seamless integration of view definitions into the database,
  - transparent semantics,
- new aspects for XLink coming from query languages.
- Optimizations and Perspectives:
  - Resource descriptions (Path index, XML schema): check a priori whether the actual query xpath-expr<sub>2</sub> on the result of xpath-expr<sub>x</sub> can be successful.
  - Hybrid shipping: use the "Projected Document" [Marian, Simeon VLDB03] of the result of xpath-expr<sub>x</sub> wrt. xpath-expr<sub>2</sub> (do the projection when the result comes in, or even request only the projected document).
  - Apply results from ActiveXML (e.g. algebraic optimization).

# Thank You Questions ??